# **Chapter 9: Crowdfunding—List of Resources**

### British Columbia

# **Organizations**

#### 1. British Columbia Securities Commission

- Start-up Crowdfunding Guide, online: www.bcsc.bc.ca/uploadedFiles/For\_Companies/Private\_Placements/Crowdfunding/Crowdfunding%20Guide%20-
  - %20for%20Business%20%20%20Iulv%202016.pdf?t=1489692031642
- Start-Up Crowdfunding Guide: For Funding Portals, (May 2015; last revised July 2016), online:
  - www.bcsc.bc.ca/uploadedFiles/For Companies/Private Placements/Crowdfunding/Crowdfunding%20Guide%20-
  - Funding%20Portals%20%20July%202016.pdf?t=1489767040530
- 45-316 Start-up Crowdfunding Registration and Prospectus Exemptions [Multilateral CSA Notice] (15 May 2015), online:
  - https://www.bcsc.bc.ca/Securities\_Law/Policies/Policy4/PDF/45-316 Multilateral CSA Notice May 14 2015/
    - i. On February 22, 2017, the Saskatchewan Securities Commission filed Amendment Regulations, bringing the Act into force, per s 45 of MI 45-108. See online:
      - fcaa.gov.sk.ca/adx/aspx/adxGetMedia.aspx?DocID=9020,9019,8914,2258,2257,244,1,Documents&MediaID=7f3699a5-ab5f-426b-9385-
      - <u>69f7871bd5b3&Filename=45-108-mi-notice-of-approval-crowdfunding-january-25-2016.pdf</u> **and**
      - www.fcaa.gov.sk.ca/adx/aspx/adxGetMedia.aspx?DocID=10351,10343,10218,2 258,2257,244,1,Documents&MediaID=923dc111-567a-45df-a91f-
      - d61f5dde5d91&Filename=comm-reg-2017-mi-45-108-february+22-2017.pdf
- Start-up Crowdfunding Guide for Businesses, (May 2015; last revised July 2016), online: www.bcsc.bc.ca/uploadedFiles/For Companies/Private Placements/Crowdfunding/Crowdfunding%20Guide%20-%20for%20Business%20%20%20July%202016.pdf?t=1502049722546
- 2. BC Supreme Court Registrar Office—Legal Profession Act Reviews

www.courts.gov.bc.ca/supreme court/about the supreme court/registrars office/booklet/Legal%20Professions%20Act.pdf

### 3. The Law Society of British Columbia

- Code of Professional Conduct for British Columbia, Legal Profession Act, Law Society Rules and Member's Manual
- BC Supreme Court Registrar Fee Review: <a href="https://www.lawsociety.bc.ca/complaints-lawyer-discipline-and-public-hearings/complaints/complaints-about-lawyers-fees/">https://www.lawsociety.bc.ca/complaints-lawyer-discipline-and-public-hearings/complaints/complaints-about-lawyers-fees/</a>

# Other articles, reports and resources

- Bethany Lindsay, "Crowdfunding campaign raises thousands to support legal defense of Kinder Morgan protesters", *The Vancouver Sun* (03 November 2014), online:
- <u>www.vancouversun.com/technology/Crowdfunding+campaign+raises+thousands+support+legal+defence+Kinder+Morgan+protesters/10348868/story.html</u>
- Supreme Court Civil Rules, BC Reg 168/2009.

#### Canada

# **Organizations**

# 1. National Crowdfunding Association of Canada

- What is equity crowdfunding?, online: <a href="http://ncfacanada.org/equity-crowdfunding-general-questions/">http://ncfacanada.org/equity-crowdfunding-general-questions/</a>
- 2017 Canadian Online Funding Directory", online: <a href="mailto:ncfacanada.org/canadian-crowdfunding-directory/">ncfacanada.org/canadian-crowdfunding-directory/</a>

# 2. Uniform Law Conference of Canada www.ulcc.ca

• *Uniform Informal Public Appeals Act* (2012), online: <u>www.ulcc.ca/en/civil-section/108-civil-section-current-topics/1328-uniform-informal-public-appeals-act-2012</u>

## Other articles, reports and resources

- An Act respecting Informal Public Appeals, SS 2014, c I-9.0
- Sean Fine, "Man crowdfunding his fight against the 10-year wait for a legal pardon", The Globe and Mail (19 May 2015), online: <a href="https://beta.theglobeandmail.com/news/national/man-crowdfundinghis-fight-against-the-10-year-wait-for-a-legalpardon/article24478915/?ref=http://www.theglobeandmail.com&">https://beta.theglobeandmail.com/news/national/man-crowdfundinghis-fight-against-the-10-year-wait-for-a-legalpardon/article24478915/?ref=http://www.theglobeandmail.com&</a>
- Avnish Nanda, "Crowdfunding: Leveling the Playing Field", LawNow Magazine (3 May 2016), online: <a href="https://www.lawnow.org/crowdfunding-leveling-playing-field/">www.lawnow.org/crowdfunding-leveling-playing-field/</a>

#### International

### **Europe**

- Tanja Aschenbeck-Florange, David Blair, Javier Beltran, Thomas Nagel, Umberto Piattelli & Laura Quintavalla, "Regulation of Crowdfunding in Germany, the UK, Spain and Italy and the Impact of the European Single Market" (2013) European Crowdfunding Network in Association with Osborne Clarke, online: www.osborneclarke.com/media/filer\_public/51/b3/51b3007b-73aa-4b9a-a19d
  - www.osborneclarke.com/media/filer\_public/51/b3/51b3007b-73aa-4b9a-a19d-380fc1d6ff35/regulation\_of\_crowdfunding\_ecn\_oc.pdf
- Eleanor Kirby & Shane Worner, "Crowd-funding: An Infant Industry Growing Fast" (2013)
   OICU-IOSCO Working Paper, online: <a href="https://www.iosco.org/research/pdf/swp/Crowd-funding-An-Infant-Industry-Growing-Fast.pdf">https://www.iosco.org/research/pdf/swp/Crowd-funding-An-Infant-Industry-Growing-Fast.pdf</a>

### **United Kingdom**

- Civil Procedure Rules, 1998 No 3132 L 17.
- CrowdJustice https://www.crowdjustice.com
  - CrowdJustice Blog, online: <a href="https://www.crowdjustice.com/blog/launching-in-the-us/">https://www.crowdjustice.com/blog/launching-in-the-us/</a>
  - o Frequently Asked Questions, online: <a href="https://help.crowdjustice.com/hc/en-us">https://help.crowdjustice.com/hc/en-us</a>
- The Law Society of England and Wales
- Mary O'Hara, "Lawyer's crowdsourcing site aims to help people have their day in court", The Guardian (October 2015), online:
   https://www.theguardian.com/society/2015/oct/21/lawyer-crowdsourcing-site-court-legal-support
- Joshua Rozenberg, "Is crowdfunded litigation the future of justice?" The Guardian (25 May 2015), online:
   https://www.theguardian.com/commentisfree/2015/may/25/crowdfunded-litigation-future-justice-crowdjustice
- Solicitors Act 1974 (UK), c 47 and Solicitors' Code of Conduct 2007

#### **United States**

- American Bar Association
  - o Jason Krause, "Crowdfunding can be a great way to finance your case—or destroy it", *American Bar Association Journal* (September 2015), online:

    www.abajournal.com/magazine/article/crowdfunding can be a great way to finance your case or destroy it/
  - Model Rule of Professional Conduct, 2016, online: <u>www.americanbar.org/groups/professional responsibility/publications/model rules of professional conduct table of contents.</u> <u>html.</u>
- C Steven Bradford, "Crowdfunding and the Federal Securities Laws" (2012) Colum Bus L Rev 1.
- Commodity and Securities Exchanges, 17 CFR §230.501 (2011)
- GoFund Me https://www.gofundme.com

- How do Certified Charity campaigns work?", online: <a href="https://support.gofundme.com/hc/en-us/articles/203604054-How-do-Certified-Charity-campaigns-work">https://support.gofundme.com/hc/en-us/articles/203604054-How-do-Certified-Charity-campaigns-work</a>
- o Legal Challenge to CPC Pardon Law", online: <a href="https://www.gofundme.com/pardon">https://www.gofundme.com/pardon</a>
- Laura Hogan, "Career Corner: UF Law alumni kickstart digital advocacy company", FlaLaw Online (13 October 2014), online: <a href="https://www.law.ufl.edu/flalaw/2014/10/uf-law-alumni-kickstart-digitaladvocacy-company/">https://www.law.ufl.edu/flalaw/2014/10/uf-law-alumni-kickstart-digitaladvocacy-company/</a>
- *Internal Revenue Code, USC tit 26 § 501(c)(3).*
- Internal Revenue Service, "Limited Liability Company (LLC)", online: <a href="https://www.irs.gov/businesses/small-businesses-self-employed/limited-liability-company-llc">https://www.irs.gov/businesses/small-businesses-self-employed/limited-liability-company-llc</a>
- Jumpstart Our Business Startups Act, Pub L No 112-106, 126 Stat 306 (2012)
- LexShares <a href="https://www.lexshares.com">https://www.lexshares.com</a>

/CMSResources/Opinion2015-6.pdf

- o Attorneys, online: <a href="https://www.lexshares.com/pages/attorneys">https://www.lexshares.com/pages/attorneys</a>
- o Frequently Asked Questions, online: <a href="https://www.lexshares.com/pages/faqs">https://www.lexshares.com/pages/faqs</a>
- o Investors, online: <a href="https://www.lexshares.com/pages/investors">https://www.lexshares.com/pages/investors</a>
- o Plaintiffs, online: <a href="https://www.lexshares.com/pages/plaintiffs">https://www.lexshares.com/pages/plaintiffs</a>
- The Philadelphia Bar Association, Professional Guidance Committee, "Opinion 2015–6", December 2015), online: <a href="https://www.philadelphiabar.org/WebObjects/PBAReadOnly.woa/Contents/WebServerResources">www.philadelphiabar.org/WebObjects/PBAReadOnly.woa/Contents/WebServerResources</a>
- U.S. Securities and Exchange Commission <a href="https://www.sec.gov/answers/accred.htm">www.sec.gov/answers/accred.htm</a>
  - o See also <a href="https://www.sec.gov/spotlight/jobs-act.shtml">https://www.sec.gov/spotlight/jobs-act.shtml</a>
  - o Investor Bulletin: Crowdfunding for Investors (16 February 2016), online: <a href="https://www.sec.gov/oiea/investor-alerts-bulletins/ib\_crowdfunding-.html">https://www.sec.gov/oiea/investor-alerts-bulletins/ib\_crowdfunding-.html</a>